



SRP

SUMMARY OF CHANGES

**SRP CoC POLICY AND STANDARD
VERSION 2.6**



**UPDATED POLICIES
AND REQUIREMENTS**



**STRENGTHENING
INTEGRITY AND
TRANSPARENCY**



**SUPPORTING
RESPONSIBLE SUPPLY
CHAINS**



**ADVANCING
SUSTAINABLE
RICE TOGETHER**





SRP

SRP CHAIN OF CUSTODY POLICY AND STANDARD

KEY CHANGES

From Version 2.5 to Version 2.6

VERSION
2.6

Published in
June 2026



Stronger
Traceability



Tighter
Claim Integrity



Better
Oversight

CoC Version 2.6 strengthens the SRP Chain of Custody system with stricter traceability through RiceTrace, stronger evidence for claim transfer, expanded oversight, and clearer rules for audits, scope changes, private label arrangements, and Mass Balance.



Building Trust, Ensuring Credibility.
Driving Sustainable Rice for People and Planet.

HOW VERSION 2.6 STRENGTHENS THE SYSTEM



1 RICE TRACE MANDATORY

RiceTrace is the only system for traceability, validation and claim substantiation.



2 TC RULES TIGHTENED

Transaction Certificates (TC) is mandatory for claim transfer with tight timelines and buyer confirmation.



3 INITIAL VOLUME CLARIFIED

Initial volume is only for harvested rice from verified producers and by-products. NOT for claim transfer between Participating Operators.



4 CLAIM VOLUME INTEGRITY & OVERSIGHT

SRP may request confirmation and conduct annual claim volume oversight.



5 PRIVATE LABEL ARRANGEMENT

Formal agreement is required. No TC to brand/label owner. Claims within verified volumes only.



6 AUDIT MANAGEMENT PLATFORM (AMP)

Complete and accurate AMP data is mandatory. Missing/incorrect data = non-conformity for CAB.



7 CAB OVERSIGHT EXPANDED

CABs must comply SRP Oversight Program Manual, License and Registration Agreement (LRA) and this CoC Standard and Policy.



8 STRONGER RULES BEFORE VERIFICATION STATEMENT

No marketing, selling or transferring SRP claims until Verification Statement (VS) is issued or inclusion in multi-site VS is confirmed.



9 COC AUDIT LINKED TO PRODUCER AUDIT

CoC and producer audits may be aligned without reducing depth, independence, or the 3-years cycle.



10 SCOPE CHANGE AUDIT

CAB may audit scope changes (sites, activities, CoC model, etc) at any time. It does not reset the 3-years cycle.



11 EXCEPTION RULES STRENGTHENED

Exceptions must be justified, centrally tracked, and cannot weaken compliance, traceability, or integrity.



12 MASS BALANCE CLARIFIED FURTHER

Only SRP-verified rice volume in multi-ingredient products can be claimed. Physical-flow mass balance model only.

WHO IT APPLIES TO



Producers & Producer Groups



Processors & Manufacturers



Traders & Exporters



Retailers & Brands



Private Label Owners



Conformity Assessment Bodies (CABs)



All Actors in Rice Value Chain



Version 2.6 elevates SRP CoC from "basic traceability management" to a fully controlled digital traceability and claim integrity governance system.



1 RICETRACE BECOMES MANDATORY CORE SYSTEMS



- ✓ RiceTrace is the sole official system for SRP traceability, transaction validation, and substantiation of SRP claims.
- ✓ Other transaction documents may support audits but shall not substitute RiceTrace records.
- ✓ Failure to use RiceTrace for current SRP-verified activities constitutes a non-conformity.
- ✓ For multi-ingredient product, only record the rice input used in production, independently of non-rice ingredients .
- ✓ All sites in multi-site scope shall be registered in RiceTrace.

CRUCIAL DATA FOR RICETRACE



Value



Buyer/site



CoC Model
(IP/Seg/MB)



Product Form



Where Changes Happen

NEW 1.2.3

NEW 2.1.8.e

NEW 2.3.9

NEW 2.3.10



**Annex 2,
Indicator 4.1.6**

2 TRANSACTION CERTIFICATE (TC) RULES TIGHTENED (1/2)



TC TIMELINES RULES

- A Transaction Certificate (TC) cannot be issued more than six (6) months after the date of the earliest shipment.
- Each TC can only cover transactions occurring within a consecutive 30-day period.

- ✓ TC eligibility begins once a **Verification Statement** is issued for the PO.
- ✓ A valid TC is required for all **SRP claim transfers**.
- ✓ Claims are transferred only after **buyer acknowledgement in RiceTrace**.
- ✓ For multi-ingredient product, only record the rice input used in production, independently of non-rice ingredients .
- ✓ Withdrawn TCs are retained in RiceTrace for **audit trail purposes**, but claims are invalidated.
- ✓ For multi-ingredient products, the TC covers only the **SRP-verified rice component**.
- ✓ TC submission and issuance must follow the required **timelines**.
- ✓ TCs cannot be used to retroactively validate claims **outside the permitted issuance period**.

Where Changes Happen

NEW 4.3.2

NEW 4.3.3

NEW 4.3.5

NEW 4.3.12

REVISED 4.4.1

NEW 4.4.4

NEW 4.5.3

REVISED
Annex 2,
Indicator 3.1.2

NEW
Annex 2,
Indicator 6.1.4

2 TRANSACTION CERTIFICATE (TC) RULES TIGHTENED (2/2)



- ✓ TC records must be **complete and accurate**.
- ✓ **SRP claims** require a valid TC; commercial documents alone are not sufficient.
- ✓ For **large transactions***, Participating Operators (Pos) implementing the exemption must **inform buyers with RiceTrace access** about its application and implications.



*LARGE TRANSACTION

- ≥100 transactions/quarter
- Final product sales to retailers only
- Quarterly reporting required
- Submit transaction detail list (no individual transaction documents)
- Material inputs must match inventory records
- Buyers may be grouped by destination country
- Same buyer group transactions can be consolidated
- Multiple material inputs can be combined into one transaction record for separate shipments

3

INITIAL VOLUME RULES CLARIFIED

- ✓ Initial volume functionality in RiceTrace **restricted to harvested rice** from verified producers.
- ✓ Can be used to register **by-products from processing**.



May not be used to transfer SRP claims between supply chain actors.



Where Changes Happen

NEW 4.3.6

REVISED

Annex 2, Indicator 4.1.2

4

CLAIM VOLUME INTEGRITY & OVERSIGHT ADDED

- ✓ SRP may request **additional confirmation** or supporting information from claim holders.
- ✓ Oversight typically conducted **annually** (first quarter of the following calendar year).
- ✓ It may include confirmation of **cumulative purchased or claimed volumes**.



Where Changes Happen

NEW 4.6.1

NEW 4.6.2

NEW 4.6.3

NEW 4.6.4

- ✓ Failure to provide such confirmation may result in the **suspension or invalidation** of the associated SRP claims.
- ✓ Requests **do not** replace or modify verification or audit activities conducted by CABs under this Standard.

CLAIM HOLDER

An entity that makes, communicates, or benefits from SRP claim, including brands, retailers, private-label owners, and other actors placing SRP claims on products, packaging, or marketing materials

5 PRIVATE-LABEL ARRANGEMENTS



- ✓ An SRP claim is made under a brand or label owned by an entity **other than the producers or Participating Operators (POs)**.
- ✓ The CoC Standard applies to **POs** under this arrangement
- ✓ Brand/label owners shall sign Private Label Agreement (PLA) with **POs supplying SRP-verified rice**.
- ✓ The SRP claim on the final product supplied to brand/label owners shall be **within verified volumes in RiceTrace**, and subject to claim volume integrity requirements.



NO Transaction Certificate issued to brand/label owners.

Good Rice Company is one of SRP participating operators.
Green Sourcing Company is not verified by SRP, purchases SRP verified rice from Good Rice in a form packed products and sells it with "Super Sweet Rice" brand with SRP verified label.



- ✓ Green Sourcing signs Private Label Agreement (PLA) with Good Rice.
- ✓ Good Rice to submit SRP label and claim approval.
- ✓ SRP may request information from Green Sourcing.
- ✓ Shall comply SRP Brand Manual & Claim Guidelines.

Where Changes Happen



1.2.1



4.3.13

6 AUDIT MANAGEMENT PLATFORM (AMP) STRENGTHENED

- ✓ CABs shall verify the **completeness and accuracy** of data in AMP.
- ✓ Missing, incomplete, or incorrect AMP data that affect RiceTrace records, validation, or claim = **Minor Non-Conformity (MiNC)**.
- ✓ Repeated or systemic data quality failures shall be **escalated**.



Verification decision can not be made if AMP is **not completed**.

Where Changes Happen

NEW	1.3.1.10
NEW	2.1.2
REVISED	3.5.7

7 CONFORMITY ASSESSMENT BODY (CAB) OVERSIGHT EXPANDED

CABs shall **comply the obligations** under:

- ✓ The License and Registration Agreement (LRA)
- ✓ SRP Assurance Oversight Program Manual
- ✓ SRP CoC Policy and Standard.

Where Changes Happen

NEW	1.3.1.2
NEW	1.3.1.5



Stronger accountability and harmonization across all auditors and CABs.

8 STRONGER RULES BEFORE VERIFICATION STATEMENT ISSUANCE



- ✓ Companies shall only market, sell or transfer SRP verified products **after**:
 - a. Receive **Verification Statement (VS)** from CAB
 - b. Being **formally included** within the scope of a valid multi-site Verification Statement.
- ✓ POs that have completed an SRP Chain of Custody verification audit and is awaiting the issuance of its Verification Statement may, **on a provisional basis**, physically receive, purchase, process, pack, and store SRP-verified rice



SRP-verified volumes handled prior to the issuance of a VS **shall not be eligible** for TC issuance unless and until the VS is granted.

TERMS AND CONDITIONS



- a. No Major Non-Conformity during the audit
- b. Not making, transferring or substantiating any SRP claims
- c. The SRP rice is segregated, recorded and subject to verification once the VS is issued.

Where Changes Happen



2.1.3



2.1.4



4.3.2

9 CoC AUDIT LINKED TO PRODUCERS AUDIT



AUDIT ALIGNMENT

- ✓ CoC and producer audits may be conducted during **the same visit**.
- ✓ Each audit must **retain its own scope**, sampling, and decision process.
- ✓ Must **not compromise** audit quality or independence.
- ✓ CoC audits must reconcile **verified volumes and RiceTrace records**.



Only when conducted by the same CAB.



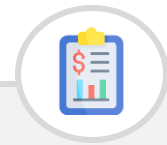
AUDIT TIMING

Annual audits may be conducted **between before and after four (4) months** from the anchor date, which can be:

- ✓ **VS anniversary date**, OR
- ✓ The date of first full producer audit in the verification cycle and conducted **no later than twelve (12) months** after the previous CoC audit.



Only for POs directly linked to SRP-verified producers.



VERIFICATION STATEMENT

- ✓ Valid for **36 months** from the initial verification decision.
- ✓ Annual audits update the **audit decision date only**, NOT the VS anniversary date
- ✓ The original VS anniversary date and verification cycle remain **unchanged**.
- ✓ Audit alignment **does not** affect validity or renewal timelines.

Where Changes Happen



2.2.2



2.2.5



2.2.3



3.7.3

10

SCOPE CHANGE AUDIT INTRODUCED

- ✓ Scope change audit is conducted when a PO requests changes to its verified scope.
- ✓ It covers site additions/removals, activity changes, CoC model changes, and other scope revisions
- ✓ May occur at any time during the verification cycle.
- ✓ Assesses only the requirements affected by the change

Where Changes Happen

NEW 2.2.7

NEW 3.7.5

- ✓ An updated VS shall be issued by the CAB to record the revised scope.
- ✓ Does **not** restart the 3-year verification cycle.

11

EXCEPTION RULES STRENGTHENED

- ✓ SRP may **grant an exception** to a PO and/or CAB after justification and, where relevant, consulting with stakeholders.
- ✓ Exceptions **shall not weaken** regulatory compliance, traceability requirements, or the integrity and substantiation of SRP claims.
- ✓ SRP Secretariat maintains **a consolidated list of approved exceptions** accessible to SRP-approved assurance providers and clients.



Where Changes Happen

REVISED 1.2.5

REVISED 1.2.6

12 MASS BALANCE CLARIFIED FURTHER

- ✓ For a multi-ingredient product, **only the SRP-verified rice portion** is claimable.
- ✓ Claimable volumes are calculated using **RiceTrace conversion** factors.
- ✓ Non-rice ingredients **cannot** be included in the SRP-verified claimable volumes.
- ✓ A valid TC is required for all claim transfers.



No credit/book & claim.
SRP uses physical-flow mass balance model only.



EXAMPLE



INPUT MIX

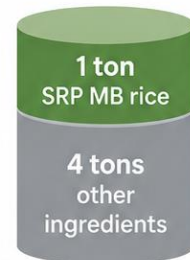
1 ton of SRP MB rice mixed with 4 tons of other ingredients



FINAL PRODUCT

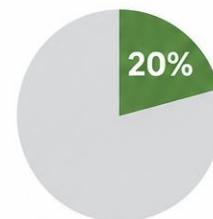
Rice chocolate bar with 20 grams per piece

1 TOTAL INPUT MIX



Total input
= **5 tons**

2 SRP RICE PROPORTION



SRP rice proportion
= 1 ton / 5 tons
= **20%**

3 TOTAL PRODUCTION



Total production
= **5 tons**
= **250,000 pcs**
(20 g per piece)

4 CLAIMABLE VOLUME (SRP)



Claimable volume
= 20% of total
= **1 ton**
= **50,000 pcs**



RESULT:

Out of 250,000 chocolate bars produced,
50,000 bars can be claimed as SRP.

IN SUMMARY

Only the SRP rice equivalent (20%) is claimable.



Photo credits:
Kim Fooyontphanich

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